

## QUALIFICATIONS AND RESOURCES OF BIDDER

PROJECT: Madison County Water System – Distribution System Part 1

ENGINEER'S PROJECT NO: 121077

At the time of Bid, the Bidder is required to provide detailed information on the form herein (or referenced and attached hereto) as evidence of the Bidder's responsibility, experience, skill and financial standing to complete this Contract in the time allotted. This information will be used by the OWNER to determine if the proposal is the lowest, responsible and responsive Bid. The OWNER may make related investigations to determine the ability of the Bidder to perform the Work. The Bidder shall furnish to the OWNER or its representative, in a timely manner, all such information and data as the OWNER may request for this purpose, which may include a financial statement.

### GENERAL INFORMATION

Name: Little Creek Construction INC

Address: 47 Tolliver Road  
Greenup Ky 41144

Names, Titles, and Years of Experience of Company Officers and Key Supervisory Personnel: \_\_\_\_\_

Rebecca Tolliver President 18 years

Steven Tolliver Vice President 25 years

Terry Abbot Foreman 18 years

Address for Administration of this Contract: 47 Tolliver Road  
Greenup Ky 41144

Years in Business as a Contractor: 18 years

Former Names of the Organization: N/A

Surety for this Project: Western Surety Company

Name of Bonding Company: Smith Manus

Name and Address of Agent: Jim Martin 2300 Regency Road Lexington Ky 40503

Major equipment owned and available to be used on this Project: 4 tractors, 2 backhoes, 3 skid steers  
Conventional Drill, 3 Directional Bore Machines, mini tracs, reclaimers, mixing systems  
vactorizer Water trucks, utility trucks, Dump trucks, trailers, misc equipment & tools

Major equipment to be rented for use on this Project: NONE At this time

# EXPERIENCE STATEMENT OF BIDDER

PROJECT: Madison County Water System – Distribution System Part 1

ENGINEER'S PROJECT NO: 121077

The BIDDER is required to state in detail, in the space provided below, what work of a character similar to that included in the proposed Contract Documents it has done, to give reference and such other detailed information as will enable the OWNER to determine responsibility including experience, skill and financial standing. Among other things, this statement shall include the following: evidence to the effect that the BIDDER maintains a permanent place of business; has adequate construction facilities and equipment available for the Work under the proposed Contract; evidence to the effect that the BIDDER has suitable financial status to meet its obligations incidental to the Work; evidence to the effect that the BIDDER has appropriate technical experience and has in its employ sufficient number of skilled and trained employees to carry to completion, within the Contract Time, the Work required to be performed under this Contract.

ADDRESS: 124 West Huron Street  
Jackson Ohio 45640

CONTACT PERSON: Larry Foster  
PHONE: 740-286-5929

FAX: \_\_\_\_\_  
E-MAIL: Larry.foster@jacksoncountywater.net

PROJECT NAME: Phase 8A Waterline Replacement

DESCRIPTION OF WORK: install water line, bores and related items

ADDRESS: 4707 Garling Pike  
Franklin Furnace Ohio 45629

CONTACT PERSON: Jeff Spradlin  
PHONE: 740-354-9140

FAX: \_\_\_\_\_  
E-MAIL: sciotowateragm@aol.com

PROJECT NAME: 2018 Facilities Improvements Division A Waterlines

DESCRIPTION OF WORK: install waterlines bores and related items

ADDRESS: 121 W Brown Street  
New Lexington Ohio

CONTACT PERSON: Mitch Altier  
PHONE: 740-342-6695

FAX: 740-  
E-MAIL: mitch.altier@ibigroup.com

PROJECT NAME: Phase III Waterline Ext

DESCRIPTION OF WORK: install waterlines, bores, and related items

ADDRESS: 2277 Bosewell Road  
Pikeston Ohio

CONTACT PERSON: Tim Williams  
PHONE: 740-947-2524

FAX: \_\_\_\_\_  
E-MAIL: timrwms@yahoo.com

PROJECT NAME: Pine Top Waterline Ext

DESCRIPTION OF WORK: install waterlines, bores, and related items

USE ADDITIONAL COPIES OF THIS FORM IF NEEDED



NON-COLLUSION AFFIDAVIT OF BIDDER

ENGINEER'S PROJECT NO: 121077

(The BIDDER is required to execute and submit this Affidavit with its Bid, and this Affidavit must be properly executed and notarized.)

STATE OF OHIO )

COUNTY OF MADISON )

SS: 406 236617

Project: Madison County Water System – Distribution System Part 1

I, Rebecca Tolliver of Greenup Ky being duly sworn, deposes and says that he/she is the President (Sole Owner/Partner/President/Secretary/Other Title)

of Little Creek Construction INC (Name of BIDDER)

with its principal business located at 47 Tolliver Road Greenup Ky 41144 (Address)

1. On August 31, 2021, the BIDDER submitted to OWNER a Bid as set forth in the attached copy; that all statements of fact in such Bid are true; that such Bid was not made in the interest of or on behalf of any undisclosed person, partnership, company, association, organization, or corporation; that such bid is genuine and not collusive or a sham; that said BIDDER has not directly or indirectly, induced by agreement, communication or conference with anyone attempting to induce or solicit action prejudicial to the interest of the public body which is to award the contract, or of any other bidder or anyone else interested in the proposed contract; and further, that prior to the public opening and reading of the bids, said BIDDER

- (a) did not directly or indirectly, induce or solicit anyone else to submit a false or sham Bid;
(b) did not directly or indirectly, collude, conspire, connive or agree with anyone else that said BIDDER or anyone else would submit a false or sham bid, or that anyone should refrain from bidding or withdraw its Bid;
(c) did not, in any manner, directly or indirectly, seek by agreement, communication or conference with anyone to raise or fix the Bid price of said BIDDER or of anyone else, or to raise or fix any overhead, profit or cost element of its Bid price, or that of anyone else;
(d) did not, directly or indirectly, submit its Bid price or any breakdown thereof, or the contents thereof, or divulge information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, or to any individual or group of individuals, except to the awarding authority or to any person or persons who have a partnership of other financial interests with said BIDDER in its business; and
(e) did not include in its Bid price any fees, dues, charges, or assessments because required to do so by reason of its membership or in affiliation with any association, organization, corporation, partnership, company, individual or group of individuals, or because of any agreement or understanding with anyone that it would do so.

BIDDER: Little Creek Construction INC

By: Rebecca Tolliver (Authorized Signature)

Name: Rebecca Tolliver (Type or Print)

Title: President

(SEAL OF NOTARY HERE)



Sworn to before me and subscribed in my presence this 31 day of August 2021.

Melissa Brown Notary Public Signature

My commission expires: April 23, 2023

DELINQUENT PERSONAL PROPERTY TAXES AFFIDAVIT

ENGINEER'S PROJECT NO: 121077

STATE OF OHIO )

SS: 406 236617

COUNTY OF Madison)

BIDDER/CONTRACTOR being duly cautioned and sworn states as follows:

1. That he/she is President (Title: sole owner, partner, officer,) of Little Creek Construction INC (Name of BIDDER/CONTRACTOR), with its principal place of business at 47 Tolliver Road Greenup Ky 41144.

2. A. That Rebecca Tolliver / Little Creek Construction INC (Name of BIDDER/CONTRACTOR) hereby affirms under oath, pursuant to the Ohio Revised Code Section 5119.042, that the time the Bid was submitted, the BIDDER/CONTRACTOR is not presently charged with any delinquent personal property taxes on the General Tax List of Personal Property of any county in which the project OWNER has property in the taxing district. This taxing district includes property within the following counties:

- O R -

B. That \_\_\_\_\_ (Name of BIDDER/CONTRACTOR) is charged with delinquent personal property tax on the General Tax List of Personal Property of any county in which this taxing district has property. This taxing district includes property within the following counties:

and the amount of delinquent personal property tax due and unpaid penalty and interest is:

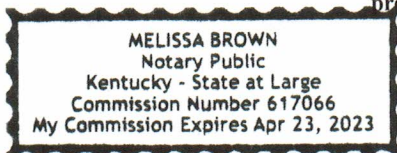
\$ \_\_\_\_\_

BIDDER/CONTRACTOR: Little Creek Construction INC

By: Rebecca Tolliver, President (Authorized Signature & Title)

Name: Rebecca Tolliver (Type or Print)

(SEAL OF NOTARY HERE)



Sworn to before me and subscribed in my presence this

31 day of August 2021

Melissa Brown  
Notary Public Signature

My commission expires: April 23, 2023

INSTRUCTIONS:

Note to Fiscal Officer: If any personal property taxes are delinquent, you must send a copy of this statement to the County Treasurer within 30 days of the date it is submitted.



**AFFIDAVIT IN COMPLIANCE WITH SECTION 3517.13 (H.B.694)  
OF THE OHIO REVISED CODE**

STATE OF Ohio

COUNTY OF Madison

SS: 406-23-6617

Personally appeared before me the undersigned, as an individual or as a representative of Little Creek Construction INC for a contract for MADison County Water System Distribution System Part I  
(Name of Entity) (Type of Product or Service)

to be let by the **Madison County Commissioners**, who, being duly cautioned and sworn, makes the following statement with respect to prohibited activities constituting a conflict of interest or other violations under Ohio Revised Code Section 3517.13, and further states that the undersigned has the authority to make the following representation on behalf of himself or herself or of the business entity:

1. That none of the following has **individually** made after April 4, 2007, and that, if awarded a contract for the purchase of goods or services with a cost aggregating more than \$10,000 in a calendar year, none of the following **individually** will make, beginning on the date the contract is awarded and extending until one year following the conclusion of the contract, as an individual, one or more campaign contributions totaling in excess of \$1,000, to the Village of Commercial Point or his/her individual campaign committees:
  - a. myself (if applicable);
  - b. any partner or owner or shareholder of the partnership (if applicable);
  - c. any owner of more than 20% of the corporation or business trust (if applicable);
  - d. each spouse of any person identified in (a) through (c) of this section;
  - e. each child seven years of age to seventeen years of age of any person identified in divisions (a) through (c) of this section (only applicable to contributions made on or after January 1, 2007).
  
2. That none of the following have **collectively** made after April 4, 2007, and that, if awarded a contract for the purchase of goods or services with a cost aggregating more than \$10,000 in a calendar year, none of the following **collectively** will make, beginning on the date the contract is awarded and extending until one year following the conclusion of the contract, one or more campaign contributions totaling in excess of \$2,000, to the Village of Commercial Point or his/her individual campaign committees:
  - a. myself (if applicable);
  - b. any partner or owner or shareholder of the partnership (if applicable);
  - c. any owner of more than 20% of the corporation or business trust (if applicable);
  - d. each spouse of any person identified in (a) through (c) of this section;
  - e. each child seven years of age to seventeen years of age of any person identified in divisions (a) through (c) of this section.
  - f. any political action committee affiliated with any person identified in divisions (a) through (c) of this section.

Signature Rebecca Jellison  
Title: President

Sworn to before me and subscribed in my presence this 31 day of August 2021.

Notary Public Melissa Brown  
My Commission Expires: April 23, 2023

MELISSA BROWN  
Notary Public  
Kentucky - State at Large  
Commission Number 617066  
My Commission Expires Apr 23, 2023





**GOVERNMENT BUSINESS AND FUNDING CONTRACTS**  
 In accordance with section 2909.33 of the Ohio Revised Code

**DECLARATION REGARDING MATERIAL ASSISTANCE/NONASSISTANCE TO A TERRORIST ORGANIZATION**

This form serves as a declaration by an applicant for a government contract or funding of material assistance/nonassistance to an organization on the U.S. Department of State Terrorist Exclusion List ("TEL"). Please see the Ohio Homeland Security Division Web site for a copy of the TEL.

Any answer of "yes" to any question, or the failure to answer "no" to any question on this declaration shall serve as a disclosure that material assistance to an organization identified on the U.S. Department of State Terrorist Exclusion List has been provided. Failure to disclose the provision of material assistance to such an organization or knowingly making false statements regarding material assistance to such an organization is a felony of the fifth degree.

For the purposes of this declaration, "material support or resources" means currency, payment instruments, other financial securities, funds, transfer of funds, financial services, communications, lodging, training, safe houses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials.

**COMPLETE THIS SECTION ONLY IF YOU ARE AN INDEPENDENT CONTRACTOR**

|              |       |            |        |    |
|--------------|-------|------------|--------|----|
| LAST NAME    |       | FIRST NAME |        | MI |
| HOME ADDRESS |       |            |        |    |
| CITY         | STATE | ZIP        | COUNTY |    |
| HOME PHONE   |       | WORK PHONE |        |    |

**COMPLETE THIS SECTION ONLY IF YOU ARE A COMPANY, BUSINESS OR ORGANIZATION**

|  |                          |                     |                              |  |
|--|--------------------------|---------------------|------------------------------|--|
| BUSINESS/ORGANIZATION NAME<br><i>Little Creek Construction</i>       |                          |                     | PHONE<br><i>606 473-6296</i> |  |
| BUSINESS ADDRESS<br><i>47 Tolliver Road</i>                          |                          |                     |                              |  |
| CITY<br><i>Greenup</i>   | STATE<br><i>Kentucky</i> | ZIP<br><i>41144</i> | COUNTY<br><i>Greenup</i>     |  |
| BUSINESS/ORGANIZATION REPRESENTATIVE NAME<br><i>Rebecca Tolliver</i> |                          |                     | TITLE<br><i>President</i>    |  |

**DECLARATION**

In accordance with section 2909.32 (A)(2)(b) of the Ohio Revised Code

For each question, indicate either "yes," or "no" in the space provided. Responses must be truthful to the best of your knowledge.

- Are you a member of an organization on the U.S. Department of State Terrorist Exclusion List?  Yes  No
- Have you used any position of prominence you have with any country to persuade others to support an organization on the U.S. Department of State Terrorist Exclusion List?  Yes  No
- Have you knowingly solicited funds or other things of value for an organization on the U.S. Department of State Terrorist Exclusion List?  Yes  No
- Have you solicited any individual for membership in an organization on the U.S. Department of State Terrorist Exclusion List?  Yes  No
- Have you committed an act that you know, or reasonably should have known, affords "material support or resources" to an organization on the U.S. Department of State Terrorist Exclusion List?  Yes  No
- Have you hired or compensated a person you knew to be a member of an organization on the U.S. Department of State Terrorist Exclusion List, or a person you knew to be engaged in planning, assisting, or carrying out an act of terrorism?  Yes  No

If an applicant is prohibited from receiving a government contract or funding due to a positive indication on this form, the applicant may request the Ohio Department of Public Safety to review the prohibition. Please see the Ohio Homeland Security Web site for information on how to file a request for review.

**CERTIFICATION**

I hereby certify that the answers I have made to all of the questions on this declaration are true to the best of my knowledge. I understand that if this declaration is not completed in its entirety, it will not be processed and I will be automatically disqualified. I understand that I am responsible for the correctness of this declaration. I understand that failure to disclose the provision of material assistance to an organization identified on the U.S. Department of State Terrorist Exclusion List, or knowingly making false statements regarding material assistance to such an organization is a felony of the fifth degree. I understand that any answer of "yes" to any question, or the failure to answer "no" to any question on this declaration shall serve as a disclosure that material assistance to an organization identified on the U.S. Department of State Terrorist Exclusion List has been provided by myself or my organization. If I am signing this on behalf of a company, business or organization, I hereby acknowledge that I have the authority to make this certification on behalf of the company, business or organization referenced above on of this declaration.

|   |                          |
|---|--------------------------|
| APPLICANT SIGNATURE<br><i>X</i> <i>Rebecca Tolliver</i> | DATE<br><i>8/31/2021</i> |
|---|--------------------------|



## Contractor Equal Employment Opportunity Certification

During the performance of this contract, the undersigned agrees as follows:

1. The undersigned will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The undersigned will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The undersigned agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this equal opportunity (federally assisted construction) clause.
2. The undersigned will, in all solicitations or advertisements for employees placed by or on behalf of the undersigned, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.
3. The undersigned will send to each labor union or representative of workers, with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the undersigned's commitment under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
4. The undersigned will comply with all provisions of Executive Order No. 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
5. The undersigned will furnish all information and reports required by Executive Order No. 11246 of September 24, 1965, and by the rules, regulations, and relevant orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records and accounts by the administering agency of the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
6. In the event of the undersigned's non-compliance with the equal opportunity (federally assisted construction) clause of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated or suspended in whole or in part, and the undersigned may be declared ineligible for further Government contracts of federally assisted construction contracts in accordance with procedures authorized in Executive Order No. 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order No 11246 of September 24, 1965, or by rules, regulations, or order of the Secretary of Labor, or as provided by law.
7. The undersigned will include this equal opportunity (federally assisted construction) clause in every subcontract or purchase order unless exempted by the rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order No 11246 of September 24, 1965, so that such provision will be binding upon each subcontract or vendor. The undersigned will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for non compliance: Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor, as a result of such direction by the administering agency the undersigned may request the United States to enter into such litigation to protect the interest of the United States.

*Rebecca Tolliver*  
(Signature)

*8/31/2021*  
(Date)

*Rebecca Tolliver President*  
(Name and Title of Signer, Please type)

*Little Caesars Construction INC*  
(Firm Name)



## Certification Regarding Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (b) of this certification;
- (d) Have not within a three year period preceding this application / proposal had one or more public transactions (Federal, State, or local) terminated for cause or default; and
- (e) Will not utilize a subcontractor or supplier who is unable to certify (a) through (d) above.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Rebecca Tolliver President

Type Name & Title of Authorized Representative

Rebecca Tolliver

Signature of Authorized Representative

8/31/2021

Date

I am unable to certify to the above statements. My explanation is attached.



**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form**

This form is intended to capture the DBE<sup>1</sup> subcontractor's<sup>2</sup> description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package.

|   |  |  |  |
|---|--|--|--|
| Subcontractor Name  |  | Project Name <i>MADISON County Water System<br/>Distribution System Part 1</i> |  |
| Bid/ Proposal No.   | Assistance Agreement ID No. (if known) | Point of Contact<br><i>Fax</i>   |  |
| Address   |  |  |  |
| Telephone No.   |  | Email Address  |  |
| Prime Contractor Name<br><i>Little Creek Construction INC</i> |  | Issuing/Funding Entity:  |  |

| Contract Item Number | Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies | Price of Work Submitted to the Prime Contractor |
|----------------------|---|---|
| <i>4</i>             | <i>12" PVC Water Main</i>   | <i>25,865 LF</i>                                |
| <i>5</i>             | <i>Locator Tapes &amp; Wire</i>   | <i>25,865 LF</i>                                |

|  |  |
|--|--|
| DBE Certified By: <input type="radio"/> DOT <input checked="" type="radio"/> SBA | Meets/ exceeds EPA certification standards?                                      |
| <input checked="" type="radio"/> Other: _____                                    | <input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> Unknown |

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

|                                   |                         |
|-----------------------------------|-------------------------|
| <b>Prime Contractor Signature</b> | <b>Print Name</b>       |
| <i>Rebecca Jolliver</i>           | <i>Rebecca Tolliver</i> |
| <b>Title</b>                      | <b>Date</b>             |
| <i>President</i>                  | <i>8/31/2021</i>        |

|                                |                   |
|--------------------------------|-------------------|
| <b>Subcontractor Signature</b> | <b>Print Name</b> |
|                                |                   |
| <b>Title</b>                   | <b>Date</b>       |
|                                |                   |



**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

|   |  |   |  |
|---|--|---|--|
| Prime Contractor Name<br><i>Little Creek Construction INC</i> |  | Project Name <i>MADISON County Water System<br/>Distribution Part 1</i> |  |
| Bid/ Proposal No.   | Assistance Agreement ID No. (if known) | Point of Contact<br><i>Fax</i>  |  |
| Address<br><i>47 Tolliver Road Greenup Ky 41144</i>           |  |   |  |
| Telephone No.<br><i>606-473-6296</i>                          |  | Email Address<br><i>littlecreek@rocketmail.com</i>                      |  |
| Issuing/Funding Entity:                                       |  |   |  |

| I have identified potential DBE certified subcontractors   | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |                  |                          |
|--|---|------------------|--------------------------|
| If yes, please complete the table below. If no, please explain:<br><i>See Attached Sheets, List of DBE Contractors, Fax Cover letter Requesting DBE Bids Form 6100-3</i> |   |                  |                          |
| <i>no quotes returned to Little Creek Construction INC</i>   |   |                  |                          |
| Subcontractor Name/<br>Company Name  | Company Address/ Phone/ Email                                       | Est. Dollar Amt. | Currently DBE Certified? |
|  |   |                  |                          |
|  |   |                  |                          |
|  |   |                  |                          |
|  |   |                  |                          |

Continue on back if needed

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

| <b>Prime Contractor Signature</b> | <b>Print Name</b>       |
|-----------------------------------|-------------------------|
| <i>Rebecca Tolliver</i>           | <i>Rebecca Tolliver</i> |
| <b>Title</b>                      | <b>Date</b>             |
| <i>President</i>                  | <i>8/31/2021</i>        |



**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Participation Form**

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE<sup>1</sup> subcontractor<sup>2</sup> the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

|   |  |   |  |
|---|--|---|--|
| Subcontractor Name  |  | Project Name <i>MADISON County Water System<br/>Distribution Part 1</i> |  |
| Bid/ Proposal No.   | Assistance Agreement ID No. (if known) | Point of Contact<br><i>Fax</i>  |  |
| Address   |  |   |  |
| Telephone No.   |  | Email Address   |  |
| Prime Contractor Name<br><i>LITTLE Creek Construction INC</i> |  | Issuing/Funding Entity:   |  |

| Contract Item Number | Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies | Amount Received by Prime Contractor |
|----------------------|--|-------------------------------------|
|                      | <i>N/A at this time<br/>NO quotes returned to Little Creek Construction</i>                                    |                                     |

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Participation Form**

Please use the space below to report any concerns regarding the above EPA-funded project:

N/A

|                                |                   |
|--------------------------------|-------------------|
| <b>Subcontractor Signature</b> | <b>Print Name</b> |
|                                |                   |
| <b>Title</b>                   | <b>Date</b>       |
|                                |                   |



# LITTLE CREEK CONSTRUCTION, INC.

47 Tolliver Road  
Greenup, KY 41144

littlecreek@rocketmail.com

Office: (606) 473-6296

Fax: (606) 473-2113



## Fax

To:

From:

*Becky Tolliver*

Fax:

Pages: *3*

Phone:

Date: *8/2021*

Re:

CC:

Urgent    For Review    Please Comment    Please Reply

Quotes for Materials for Madison County Water

Little Creek Construction is bidding a water line project on August 31, 2021. We are asking Certified DBE'S and MBE'S Material Suppliers who are interested in providing quotes for the material or services needed to complete the Madison County Water System Distribution Part 1. If you would like to quote any or all of the items please contact our office or email us a quote by August 30, 2021.

Thank You,

*Rebecca Tolliver*

Rebecca Tolliver

Little Creek Construction, Inc.

## DBE COMPANIES 2019

| COMPANY                            | FAX          | PHONE        | CONTACT           |
|------------------------------------|--------------|--------------|-------------------|
| Affordable Building Supplies, LLC  | 317-636-0448 | 317-636-0445 | Keith Payne       |
| Amcon Construction Products, INC   | 606-678-6580 | 606-679-7929 | Vicky Cody        |
| Better Built Construction Services | 513-217-4696 | 513-727-8637 | Karen Halsey      |
| Frankie Thompson Enterprises       | 770-996-7771 | 770-948-8337 | Frankie Thompson  |
| Sallie's Wholesale & Construction  | 419-524-7974 | 419-524-7973 | Sallie Sylvester  |
| Geco Enterprises, INC              | 606-864-8814 | 606-864-7550 | Georgia Bacon     |
| Baseline Supply LLC                | 888-398-2080 | 732-835-2080 | Tracey Meents     |
| Lightcap Industries INC            | 909-373-1785 | 909-373-1773 | Connie Lightcap   |
| Omni Distribution & Supply LLC     | 859-909-2278 | 859-755-4277 | Michelle Bischoff |
| Rod-Techs, INC                     | 513-575-2731 | 513-575-2084 | Chris Packer      |
| Toni Levy & Associates, INC        | 502-566-3035 | 502-566-3030 | Toni Levy         |
| ACS Contractors                    | 740-524-6102 | 740-524-7600 | Cheryl Shope      |
| Valley City Builders Supply        | 330-483-4522 | 330-483-3400 | Sue Kronenberger  |
| Yaro Supply Company                | 937-859-5200 | 937-859-6100 | Harry Bossey      |
| JMS Industries, Inc.               | 937-325-2704 | 937-325-3502 | Jennifer Nagra    |
| LyndCo Inc.                        | 740-671-4856 | 740-671-4855 | Lynda Hendershot  |
| Quality Building Supplies          | 419-832-8106 | 419-832-2202 | Edward Haynes     |



*N/A At this time*

**U.S. ENVIRONMENTAL PROTECTION AGENCY  
 MBE/WBE UTILIZATION UNDER FEDERAL GRANTS  
 AND COOPERATIVE AGREEMENTS**

**PART I. (Reports are required even if no procurements are made during the reporting period.)**

| 1A. FEDERAL FISCAL YEAR<br>(Oct. 1-Sep 30)<br><br>20 <u>21</u>  | 1B. REPORTING PERIOD (Check ALL appropriate boxes)<br><input type="checkbox"/> 1 <sup>st</sup> (Oct-Dec) <input type="checkbox"/> 2 <sup>nd</sup> (Jan-Mar) <input type="checkbox"/> 3 <sup>rd</sup> (Apr-Jun) <input type="checkbox"/> 4 <sup>th</sup> (Jul-Sep)<br><input type="checkbox"/> Semi-Annual (Oct-Mar) <input type="checkbox"/> Semi-Annual (Apr-Sep)<br><input type="checkbox"/> Annual<br><input type="checkbox"/> Check if this is the last report for the project (Project completed).                       |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
|---|---|--|------------------------|-----------------|---------------------|------------------|-----------------|-----------------|--------------|---------------|-------|-------|-------|-------|-------|---------------|-------|-------|-------|-------|-------|
| 1C. REVISION OF A PRIOR REPORT? Y or N<br>Year: _____<br>Quarter: _____   | BRIEFLY DESCRIBE THE REVISIONS YOU ARE MAKING:  |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 2A. EPA FINANCIAL ASSISTANCE OFFICE ADDRESS ( ATTN: DBE Coordinator)  | 3A. RECIPIENT NAME AND ADDRESS  |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 2B. EPA DBE COORDINATOR<br><br>Name:<br><br>E-mail:   | 2C. PHONE:<br><br>Fax:  | 3B. RECIPIENT REPORTING CONTACT:<br><br>Name:<br><br>E-mail: | 3C. PHONE:<br><br>Fax: |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 4A. FINANCIAL ASSISTANCE AGREEMENT ID NUMBER<br><small>(SRF State Recipients, refer to Instructions for Completion of blocks 4A, 5A and 5C.)</small>  | 4B. FEDERAL FINANCIAL ASSISTANCE PROGRAM TITLE or CFDA NUMBER:  |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 5A. TOTAL ASSISTANCE AGREEMENT AMOUNT<br><small>(SRF State Recipients, refer to Instructions for Completion of blocks 4A, 5A and 5C.)</small><br><br>EPA Share: \$ _____<br><br>Recipient Share: \$ _____   | 5B. If NO procurement and NO accomplishments were made this reporting period (by the recipients, sub-recipients, loan recipients, and prime contractors), <b>CHECK</b> and <b>SKIP</b> to Block No. 7. ( <u>Procurements</u> are all expenditures through contract, order, purchase, lease or barter of supplies, equipment, construction, or services needed to complete Federal assistance programs. <u>Accomplishments</u> , in this context, are procurements made with MBEs and/or WBEs.<br><br><input type="checkbox"/> |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 5C. <b>Total Procurements This Reporting Period</b><br><small>(Only include amount not reported in any prior reporting period)</small><br><br>Total Procurement Amount \$ _____ (Include total dollar values awarded by recipient, sub-recipients and SRF loan recipients, including MBE/WBE expenditures.)   |   |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 5D. Were sub-awards issued under this assistance agreement? Yes <input type="checkbox"/> No <input type="checkbox"/> Were contracts issued under this assistance agreement? Yes <input type="checkbox"/> No <input type="checkbox"/>  |   |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 5E. <b>MBE/WBE Accomplishments This Reporting Period</b><br><br>Actual MBE/WBE Procurement Accomplished:<br><small>(Include total dollar values awarded by recipient, sub-recipients, SRF loan recipients and Prime Contractors.)</small> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;"></th> <th style="width:20%; text-align: center;"><u>Construction</u></th> <th style="width:20%; text-align: center;"><u>Equipment</u></th> <th style="width:20%; text-align: center;"><u>Services</u></th> <th style="width:20%; text-align: center;"><u>Supplies</u></th> <th style="width:15%; text-align: center;"><u>Total</u></th> </tr> </thead> <tbody> <tr> <td><b>\$MBE:</b></td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td><b>\$WBE:</b></td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> </tbody> </table> |   |  |                        |                 | <u>Construction</u> | <u>Equipment</u> | <u>Services</u> | <u>Supplies</u> | <u>Total</u> | <b>\$MBE:</b> | _____ | _____ | _____ | _____ | _____ | <b>\$WBE:</b> | _____ | _____ | _____ | _____ | _____ |
|   | <u>Construction</u>   | <u>Equipment</u>   | <u>Services</u>        | <u>Supplies</u> | <u>Total</u>        |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| <b>\$MBE:</b>   | _____   | _____  | _____                  | _____           | _____               |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| <b>\$WBE:</b>   | _____   | _____  | _____                  | _____           | _____               |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 6. COMMENTS: (If no MBE/WBE procurements were accomplished during the reporting period, please explain what steps you are taking to achieve the MBE/WBE Program requirements specified in the terms and conditions of the Assistance Agreement.)  |   |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 7. NAME OF RECIPIENT'S AUTHORIZED REPRESENTATIVE  | TITLE   |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |
| 8. SIGNATURE OF RECIPIENT'S AUTHORIZED REPRESENTATIVE   | DATE  |  |                        |                 |                     |                  |                 |                 |              |               |       |       |       |       |       |               |       |       |       |       |       |





**AMERICAN IRON AND STEEL ACKNOWLEDGEMENT**

The Contractor acknowledges to and for the benefit of the City of MADison County Water ("Purchaser") and the State of Ohio (the "State") that it understands the goods and services under this Agreement are being funded with monies made available by the Clean Water State Revolving Fund and/or Drinking Water State Revolving Fund that have statutory requirements commonly known as "American Iron and Steel;" that requires all of the iron and steel products used in the project to be produced in the United States ("American Iron and Steel Requirement") including iron and steel products provided by the Contactor pursuant to this Agreement. The Contractor hereby represents and warrants to and for the benefit of the Purchaser and the State that (a) the Contractor has reviewed and understands the American Iron and Steel Requirement, (b) all of the iron and steel products used in the project will be and/or have been produced in the United States in a manner that complies with the American Iron and Steel Requirement, unless a waiver of the requirement is approved, and (c) the Contractor will provide any further verified information, certification or assurance of compliance with this paragraph, or information necessary to support a waiver of the American Iron and Steel Requirement, as may be requested by the Purchaser or the State. Notwithstanding any other provision of this Agreement, any failure to comply with this paragraph by the Contractor shall permit the Purchaser or State to recover as damages against the Contractor any loss, expense, or cost (including without limitation attorney's fees) incurred by the Purchaser or State resulting from any such failure (including without limitation any impairment or loss of funding, whether in whole or in part, from the State or any damages owed to the State by the Purchaser). While the Contractor has no direct contractual privity with the State, as a lender to the Purchaser for the funding of its project, the Purchaser and the Contractor agree that the State is a third-party beneficiary and neither this paragraph (nor any other provision of this Agreement necessary to give this paragraph force or effect) shall be amended or waived without the prior written consent of the State.

Rebecca Tolliver  
Signature

8/31/2021  
Date

Rebecca Tolliver  
Name and Title of Authorized Signatory, Please Print or Type

Little Creek Construction INC  
Bidder's Firm

- Check here if the WPCLF or WSRLA applicant will be requesting an individual waiver for non-American made iron and steel products. Please note that the waiver box does not need to be marked for nationwide waivers.